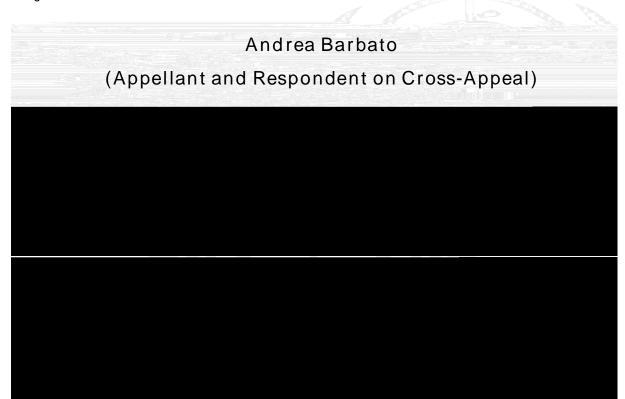
UNITED NATIONS APPEALS TRIBUNAL TRIBUNAL D'APPEL DES NATIONS UNIES

Judgment No. 2021-UNAT-1150



Counsel for Mr. Andrea Barbato: Alexandre !"I"##e \$a"nes

Counsel for t! e %e&retar' - (eneral:) orota *ost-%"em"ns+a

Judgment No. 2021-UNAT-1150

+UD#E DI' ITRIOS RAI, OS- PRESIDIN#

- 1. Andrea Barbato 3Mr. Barbato4 "s a former staff member of t! e 5nternat"onal Mar"t"me Organ"6at"on 35MO or , es#ondent4. On 7 8ebruar' 201/- Mr. Barbato f"led a &om#la"nt 9"t! t! e 5nternal O:ers"g! t and ; t! "&s Off"&e 35O; O4 o:er allegat"ons of #ro! "b"ted &ondu&t b' t! e t! en) "re&tor of t! e Adm"n"strat":e) ":"s"on of t! e Organ"6at"on. 8ollo9"ng an "n:est"gat"on &ondu&ted b' an external ser: "&e #ro: "der- 9! "&! &on&luded t! ere 9as "nsuff"&"ent e: "den&e to substant"ate t! e allegat"ons "n t! e &om#la"nt- t! e \$ead of t! e 5O; O &losed t! e &ase and a&&ord"ngi' "nformed Mr. Barbato on <0 Ma' 201/.
- 2. Mr. Barbato a##ealed t! at de&"s"on 9"t! t! e %taff A##eals Board 3%AB4 of t! e 5MO on 2 August 201/. On 10 Jul' 2020- t! e %AB- follo9"ng

		Judgment No. 202	1-UNAT-1150

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Judgment No. 2021-UNAT-1150

<... Mr. Barbato subm"ts t! at t! e %AB andFor %e&retar'-(eneral of t! e 5MO: 3"4 fa"led to exer&"se Bur"sd"&t"onE 3""4 erred on ?uest"ons of la9E 3"""4 &omm"tted errors of #ro&edure- su&! as to affe&t t! e de&"s"on of t! e &aseE and 3":4 &omm"tted errors of fa&ts lead"ng to t! ree "n&orre&t f"nd"ngs b' t! e %AB.

' () *

- T! e %AB 9as as+ed to #ro: "de a de&"s"on on t! e substan&e of Mr. Barbato& &om#la"nts and grant remed"es "n res#e&t to t! em. 5n t! e 10 Jul' 2020 %AB =) e&"s"on>-! o9e: er- t! e %AB onl' &on&luded t! e a##eal s! ould be #art"all' granted and some remed"es #ro: "ded- not"ng t! at t! e t! ree-member board 9as able to rea&! &onsensus onl' "n se: en of t! e 11 re#orted "n&"dents of #ro! "b"ted &ondu&t. 5n do"ng so- t! e %AB o#erated more l"+e a Bur' on determ"nat"ons of fa&t "nstead of a&t"ng as a f"rst "nstan&e de&"s"on-ma+er- ta+"ng "nto a&&ount t! e total"t' of t! e matters before "t and t! en a##l' "ng "ts f"nd"ngs of fa&t to la9. 5n &onf"n"ng "ts obl"gat"on to fa&t-f"nd"ng-t! e %AB t! us abd"&ated "ts res#ons"b"l"t' of address"ng t! e mer"ts of t! e &om#la"nt as a 9! ole and to #ro: "de a remed' to t! e staff member. 5n so do"ng- t! e %AB made errors of la9 as "t &learl' m"sunderstood "ts role and fun&t"ons and t! us fa"led to exer&"se t! e &ur"sd"&t"on : ested "n "t.
- <D. T!e a##ellant also notes t! at t!ere 9as not a s"ngle legall' tra"ned #erson s"tt"ng on t!e t! ree-member board- and t!ere 9as an ob: "ous &onfl"&t of "nterest emanat"ng from staff members s"tt"ng on t!e board 9!o #ur#orta'</p>

Judgment No. 2021-UNAT-1150

t! e non-b"nd"ng re&ommendator' - non-Bud"&"al and la' nature of t! e %AB and t! e "n! erent &onfl"&ts of "nterest "n t! e &onst"tut"on of t! e board.) es#"te t! e oral #ronoun&ements of t! e Cases &on: e' ed on 25 O&tober 201/! "g! l"g! t"ng t! e la&+ of a neutral element "n t! e

f"rst "nstan&e #ro&ess-t! e 5MO refefefrf nomssr ura e t noO re -2 1 5. 520("") 251 8069918((**)) 25.95834((s)).8.10471

Judgment No. 2021-UNAT-1150

- .1. Mr. Barbato see+s t! e follo 9"ng remed"es:
 - 3a4 an a9ard for &om#ensat"on- "n an amount e?u": alent to not less t! an t9o 'ears(net base salar' E
 - 3b4 &om#ensat"on for los"ng out on t!e; du&at"on (rant !ad t!e Organ"6at"on not &aused ! "s "Ilness t! at resulted "n!"s se#arat"onE
 - 3&4 an a9ard for damages "n re&ogn"t"on of t! e fa"lures "n t! e 5MO(s "nternal Bust"&e s' stem des#"te be"ng on not"&e after t! e Budgments "n t! e CasesE
 - 3d4 an a9ard "n legal &osts- not"ng t! at staff members of t! e 5MO ! a: e no a&&ess to legal ass"stan&e- su&! as O%*A.

T%e I' O Se! retar"-#eneral's AnsOer

- . 2. T! e 5MO %e&retar' (eneral subm"ts t! e Budgments "n t! e Cases 9ere orall' #ronoun&ed on 25 O&tober 201/ and t! e full 9r"tten Budgments 9ere not transm"tted to t! e 5MO unt"l 20) e&ember 201/. T! e , es#ondent argues t! ese Budgments ne&ess"tated a re: "s"on of t! e Organ"6at"on& %, %, and a renegot"at"on of t! e of t! e agreement bet9een t! e Un"ted Nat"ons and t! e 5MO- a&&e#t"ng t! e Bur"sd"&t"on of t! e A##eals Tr"bunal.
- . <. On <0 Jul' 2020- t! e 5MO %e&retar'-(eneral "ssued a memorandum t! at sus#ended t! e a##l"&at"on of %taff, ules 111.1 3gg4 and 3""4. T! "s #art"al sus#ens"on &alled for t! e %AB to ma+e f"nal de&"s"ons "nstead of ma+"ng re&ommendat"ons to t! e 5MO %e&retar'-(eneral. Add"t"onall'-

Judgment No. 2021-UNAT-1150

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./. As none of t!e alleged fa"lures !a:e been establ"s!ed- t!e re?uest for remed"es "n damages !as no legal bas"s and must be releated. %#e&"f"&all'- a &ausal l"n+ bet9een t!e a##ellant(s "liness and t!e a&ts of t!e, es#ondent!as not been establ"s!ed. Add"t"onall'- t!"s "s t!e f"rst t"me t!e a##ellant "s re?uest"ng &om#ensat"on for moral!arm.

("

- T! e, es#ondent subm"ts t! e UNAT(s #o9er to a9ard &osts "s restr"&ted to &ases "n 9! "&! a #art' ! as man"festl' abused t! e #ro&eed"ngs- 9! "&! "s not t! e &ase! ere.
- 51. 5n &on&lus"on- t! e, es#ondent re?uests t! e A##eals Tr"bunal to d"sm"ss t! e 9"t! "n a##eal "n "ts ent"ret'.

T%e I' O Se! retar"-#eneral's Cross-Appeal

- T! e 5MO re?uests t! e A##eals Tr"bunal to o: erturn t! e %AB =) e&"s"on> f"nd"ng t! at t! e O%ACO "n: est"gat"on 9as fla9ed be&ause of an alleged &onfi"&t of "nterest and as a result of ot! er errors. As su&! t! e, es#ondent as+s t! e Tr"bunal to &onf"rm t! e de&"s"on of t! e \$ead of t! e 5O; O to &lose t! e &ase.
- 5<. T! e , es#ondent also argues t! at t! e %AB erred on a ?uest"on of la9 and fa&t 9! en "t determ"ned t! at O%ACO ! ad a &onfl"&t of "nterest. T! e , es#ondent subm"ts t! at t! e O%ACO manag"ng #artner d"d not #art"&"#ate or ad: "sed on t! e "n: est"gat"on.
- 5... %e&ond-t!e, es#ondent argues t! at t!e %AB erred on a ?uest"on of la9 and fa&t- result"ng "n a man"festl' unreasonable de&"s"on- 9! en "t &on&luded t! at t! ere 9ere errors "n t!e"n: est"gat"on.
- 55. T! "rd- t! e , es#ondent subm"ts t! at t! e %AB erred on a ?uest"on of la9 9! en "t de&"ded on t! e re?uest for rel"ef regard"ng an outstand"ng) %A #a' ment- g":en t! at su&! &la"m ! ad be&ome moot.

Judgment No. 2021-UNAT-1150

- 'r Barbato's Ans**O**er to Cross-Appeal
- 5D. Mr. Barbato subm"ts t!e Organ"6at"on "s ma+"ng s"multaneousl' &ontrad"&tor' subm"ss"ons: on one! and- defend"ng t!e %AB &om#eten&e- and on t!e ot!er- argu"ng t! at t!e %AB =) e&"s"on> s! ould be o:erturned.
- 57. Mr. Barbato argues t! ere "s &learl' t! e #otent"al f

THE UNITED NATIONS

Judgment No. 2021-UNAT-1150

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7<. T! e &ase "s remanded to t! e %AB. Mr. Barbato(s a##eal to t! e %AB must be re&ons"dered and de&"ded b' a neutral #ro&ess t! at #rodu&es a 9r"tten re&ord of t! e de&"s"on- 9! "&! re&ord must "n&lude reasons for t! e de&"s"on as 9ell as a statement of t! e rele: ant fa&ts and of t! e rele: ant la9.

Or"g"nal and Aut! or"tat": e Hers"on: ; ngl"s!

) ated t! "s 2/t! da' of O&tober 2021.

. " /

Judge, a"+os- res"d"ng